

# Restricted Turing Machines and Language Recognition

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Università degli Studi di Milano, Italy

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March 14-18, 2016



UNIVERSITÀ DEGLI STUDI  
DI MILANO

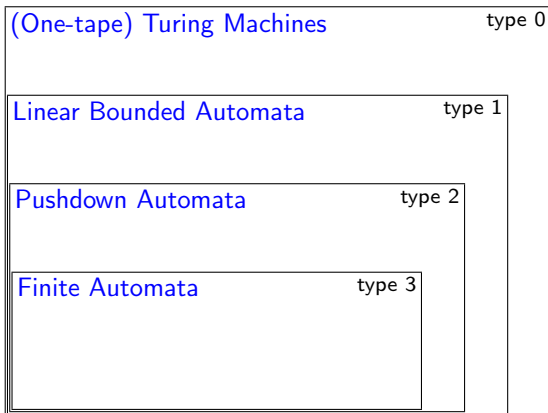
## Part I: Fast One-Tape Turing Machines

Hennie Machines & C

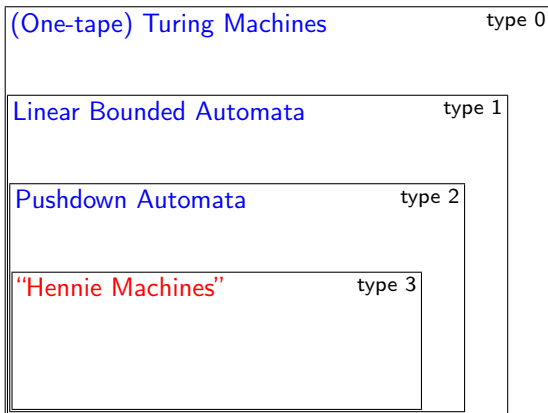
## Part II: One-Tape Turing Machines with Rewriting Restrictions

Limited Automata & C

# The Chomsky Hierarchy



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## Outline

- ▶ **Limited automata**
- ▶ Equivalence with CFLs
- ▶ Determinism vs nondeterminism
- ▶ Descriptive complexity aspects
- ▶ 1-limited automata and regular languages
- ▶ Related models

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# Limited Automata [Hibbard '67]

## One-tape Turing machines with restricted rewritings

### Definition

Fixed an integer  $d \geq 1$ , a *d-limited automaton* is

- ▶ a one-tape Turing machine
- ▶ which is allowed to rewrite the content of each tape cell *only in the first  $d$  visits*

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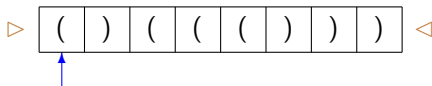
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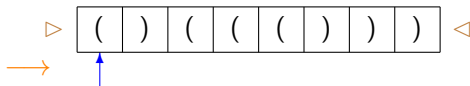
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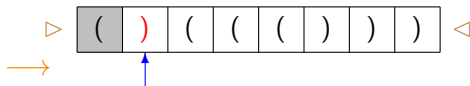
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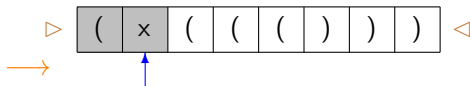
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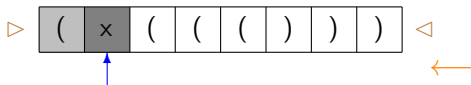
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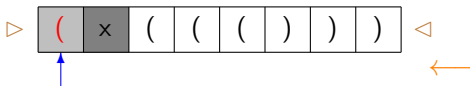


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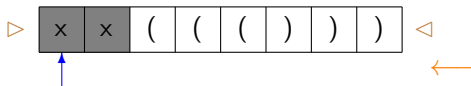
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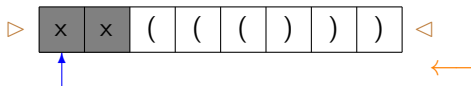
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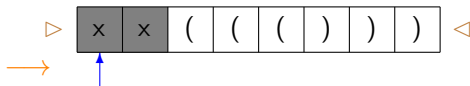
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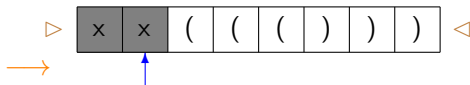
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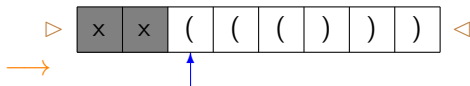
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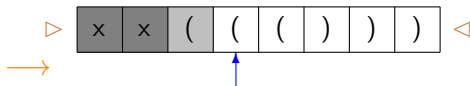
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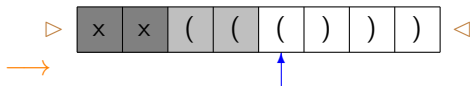
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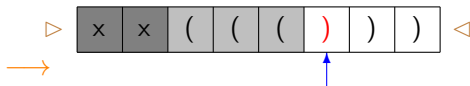


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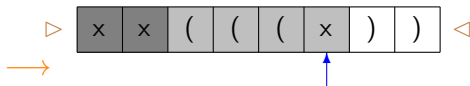
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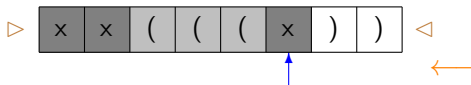
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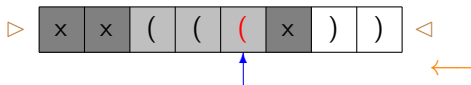
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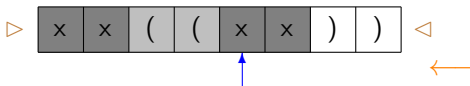
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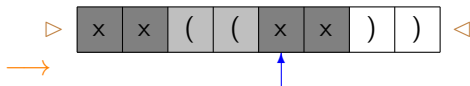
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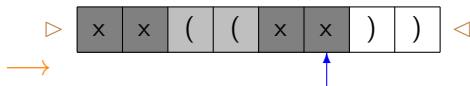
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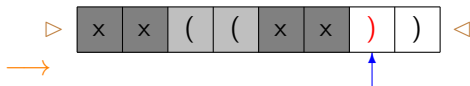
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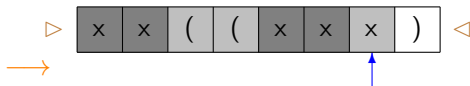


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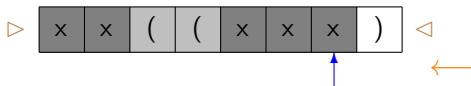
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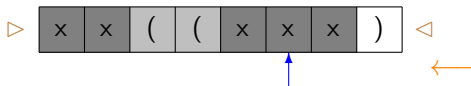
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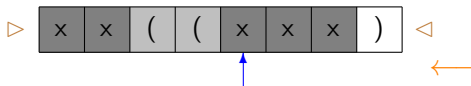
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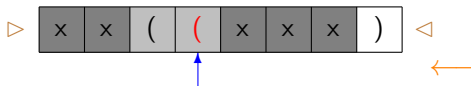
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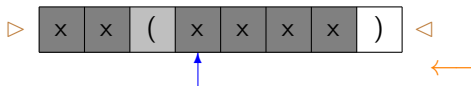
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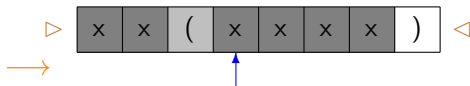
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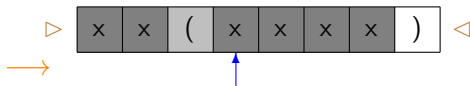
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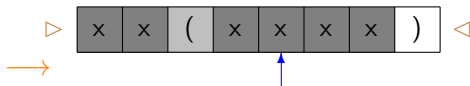


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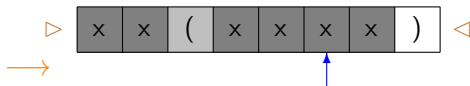
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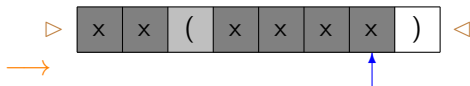
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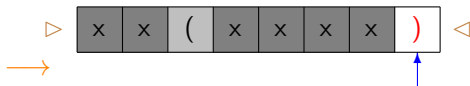
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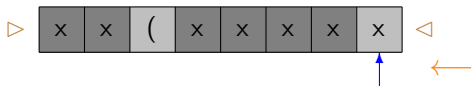
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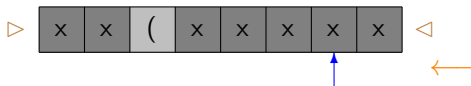
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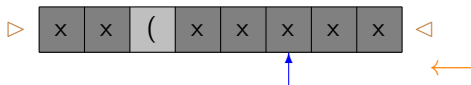
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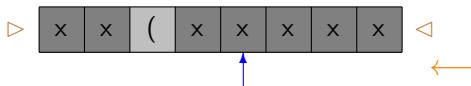
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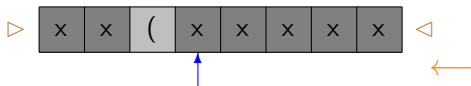


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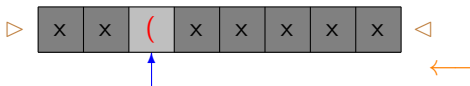
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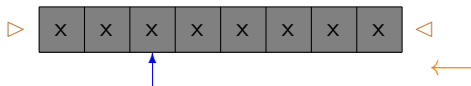
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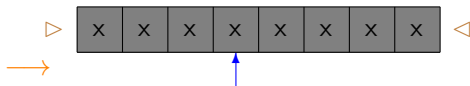
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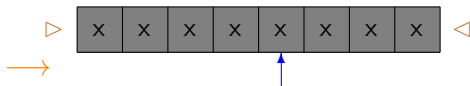
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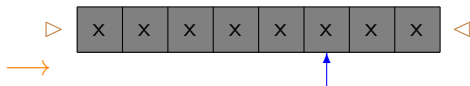
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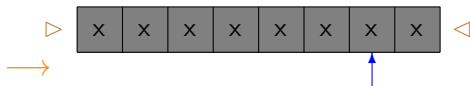
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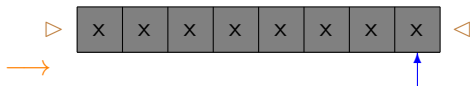
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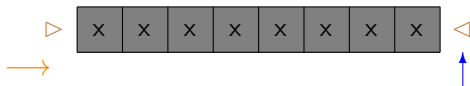


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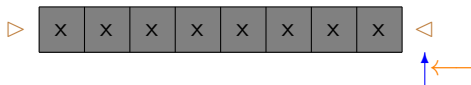
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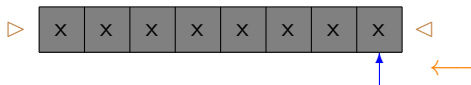


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Special cases:

- (i') If in (i) the right end of the tape is reached then scan all the tape and *accept* iff all tape cells contain x
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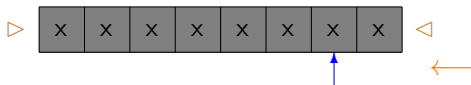


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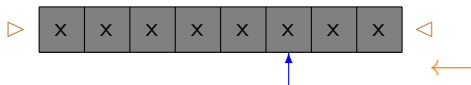


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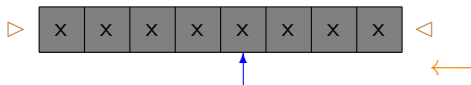


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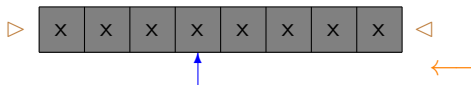


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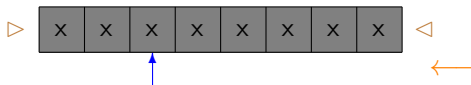
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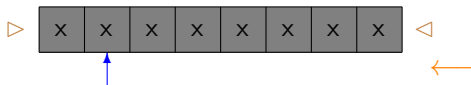


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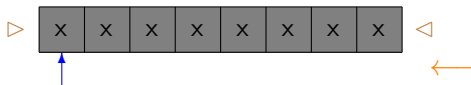


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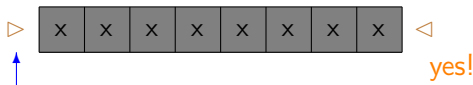


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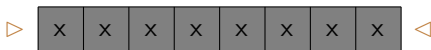


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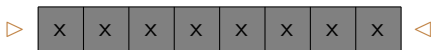


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Each cell is rewritten only in the first 2 visits!

# Limited Automata [Hibbard '67]

## One-tape Turing machines with restricted rewritings

### Definition

Fixed an integer  $d \geq 1$ , a *d-limited automaton* is

- ▶ a one-tape Turing machine
- ▶ which is allowed to rewrite the content of each tape cell *only in the first  $d$  visits*

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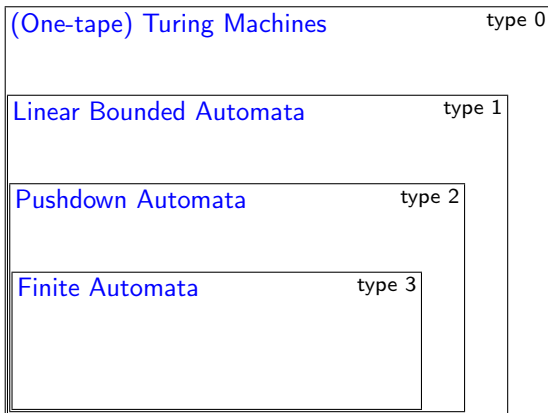
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## Computational power

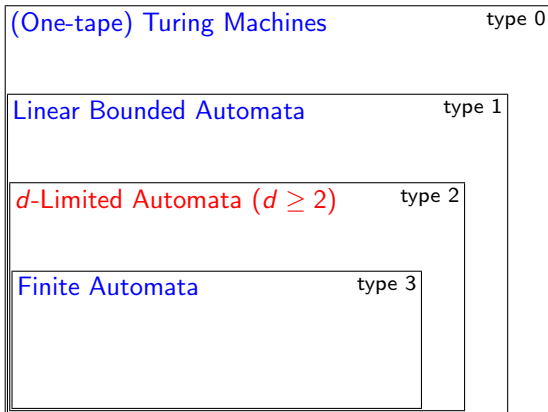
- ▶ For each  $d \geq 2$ , *d-limited automata* characterize context-free languages [Hibbard '67]
- ▶ 1-limited automata characterize regular languages [Wagner&Wechsung '86]



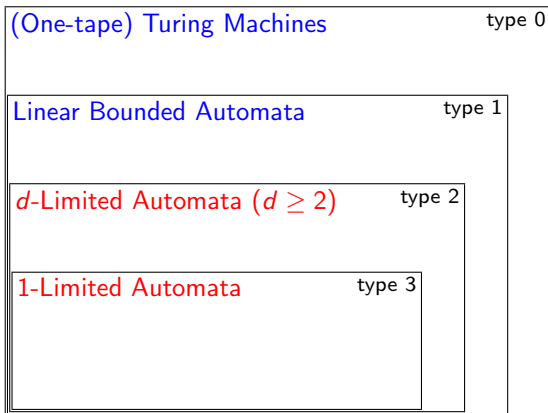
# The Chomsky Hierarchy



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Main tool:

Theorem ([Chomsky&Schützenberger '63])

*Every context-free language  $L \subseteq \Sigma^*$  can be expressed as*

$$L = h(D_k \cap R)$$

*where, for  $\Omega_k = \{(1, )_1, (2, )_2, \dots, (k, )_k\}$ :*

- ▶  $D_k \subseteq \Omega_k^*$  *is a Dyck language*
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# Why Each CFL is Accepted by a 2-LA

$L$  context-free language, with  $L = h(D_k \cap R)$

- ▶  $T$  nondeterministic transducer computing  $h^{-1}$
- ▶  $A_D$  2-LA accepting the Dyck language  $D_k$
- ▶  $A_R$  finite automaton accepting  $R$

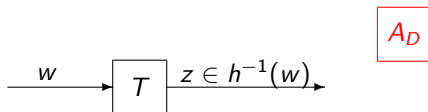
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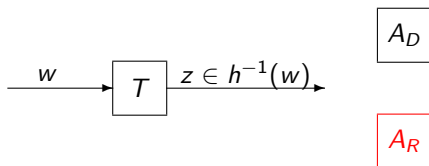


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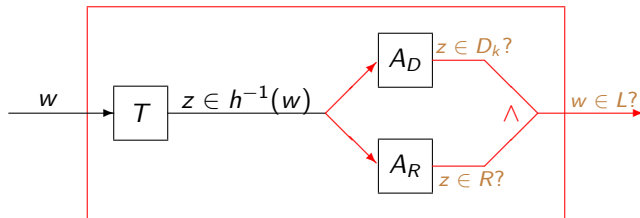
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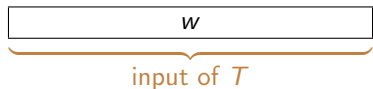
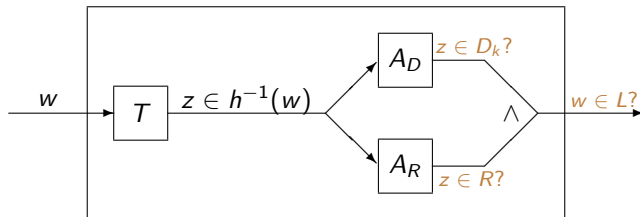
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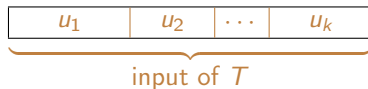
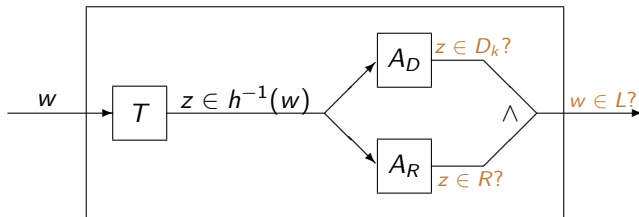
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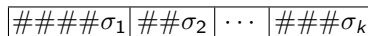
$$z = \sigma_1 \sigma_2 \cdots \sigma_k \in h^{-1}(w)$$

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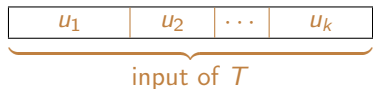
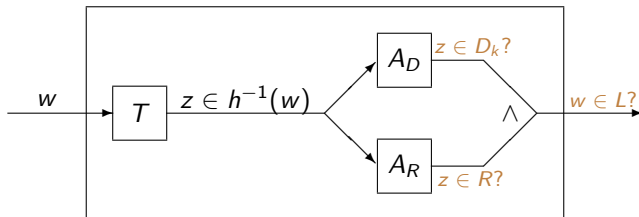
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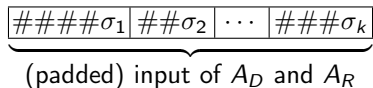
Non erasing homomorphism!

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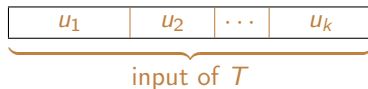
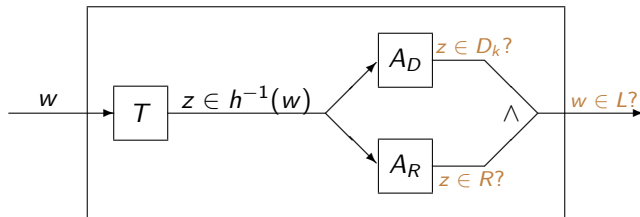
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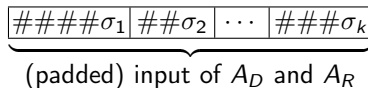
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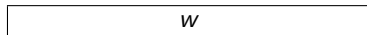
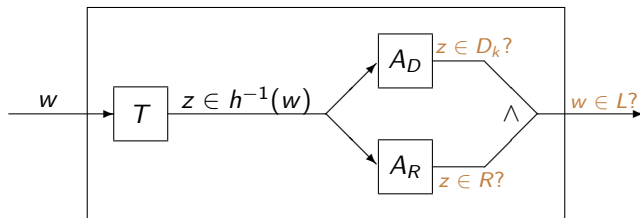
(padded) input of  $A_D$  and  $A_R$

Not stored into the tape!

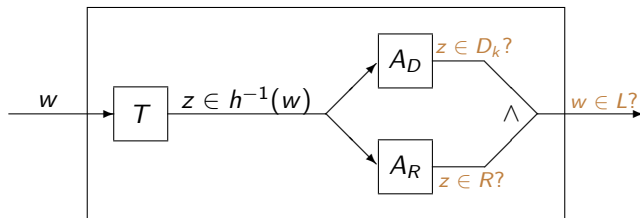
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Each  $\sigma_i$  is produced "on the fly"

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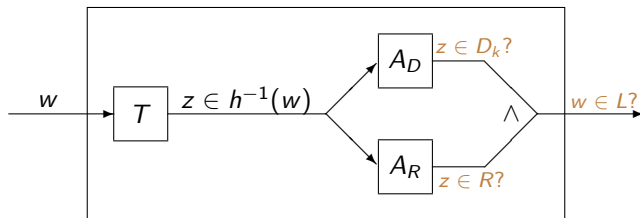
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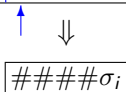
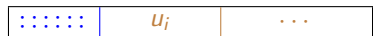
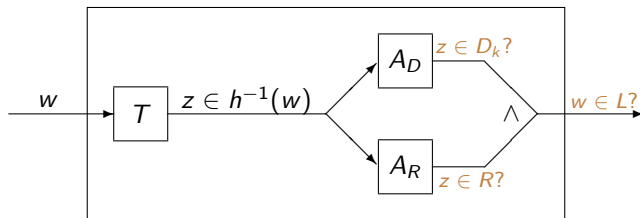
#### $\sigma_i$

$$w = \cdots u_i \cdots$$



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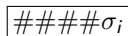
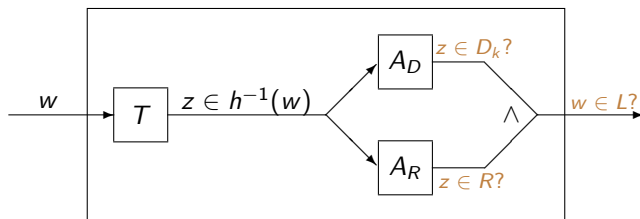


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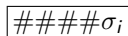
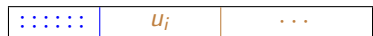
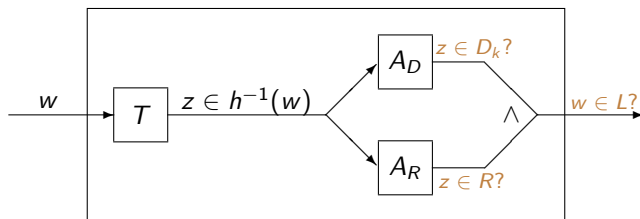
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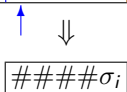
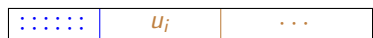
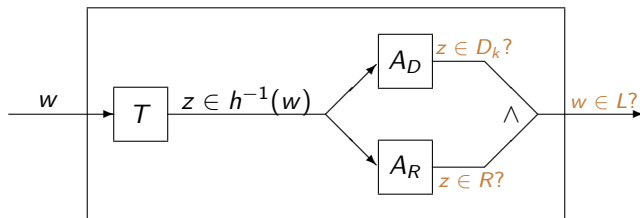
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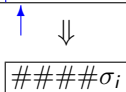
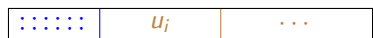
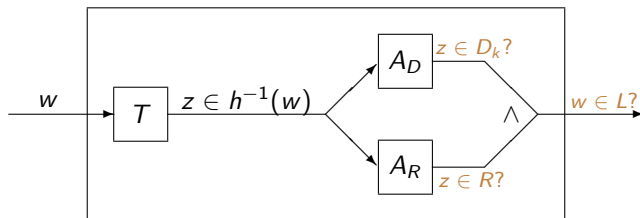
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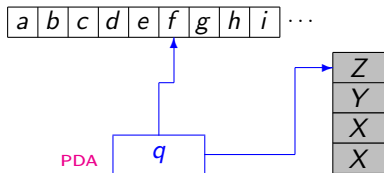
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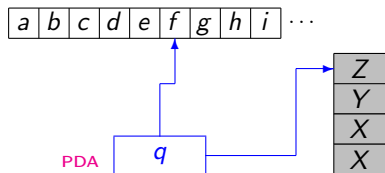
# PDAs vs Limited Automata

# Simulation of Pushdown Automata by 2-Limited Automata





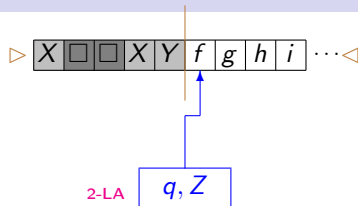
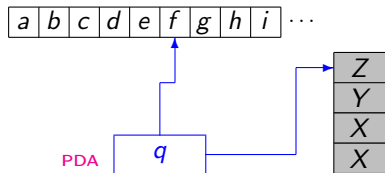
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Normal form for (D)PDAs:

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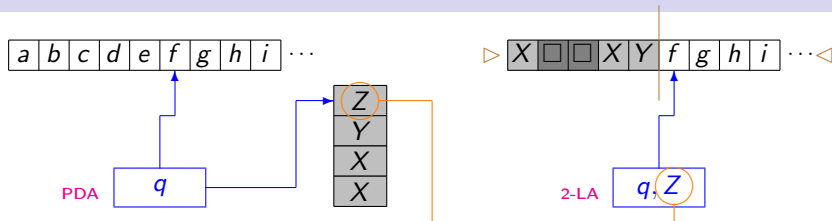
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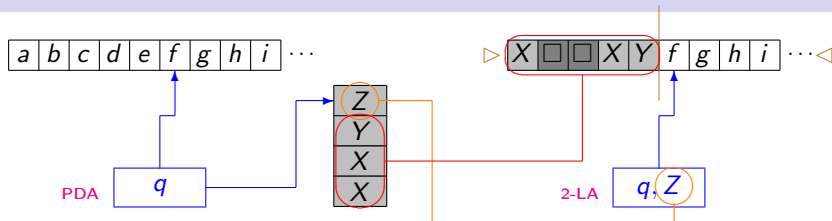
# Simulation of Pushdown Automata by 2-Limited Automata



Normal form for (D)PDAs:

- ▶ at each step, the stack height increases at most by 1
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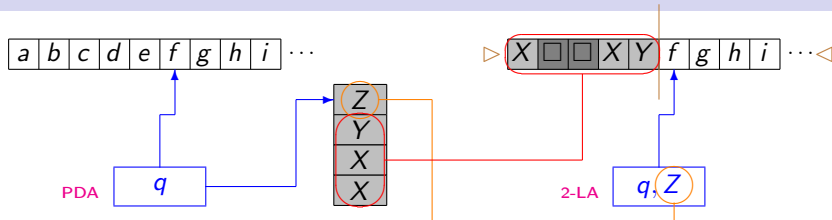
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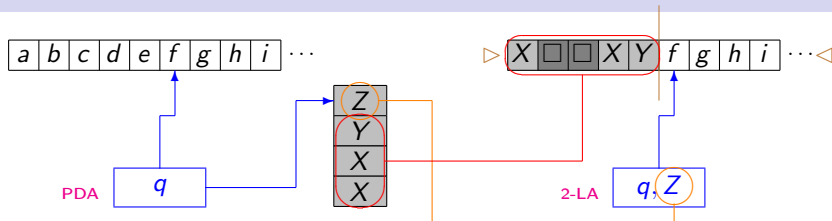
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*What about the converse simulation, namely that of 2-LAs by PDAs?*

[Hibbard '67]

Original simulation

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# Transition Tables of 2-LAs

- ▶ Fixed a 2-limited automaton
- ▶ *Transition table*  $\tau_w$   $w$  is a “frozen” string

$$\tau_w \subseteq Q \times \{-1, +1\} \times Q \times \{-1, +1\}$$

$(q, d', p, d'') \in \tau_w$  iff  $M$  on a tape segment containing  $w$  has a computation path:

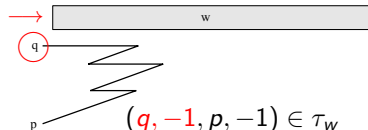
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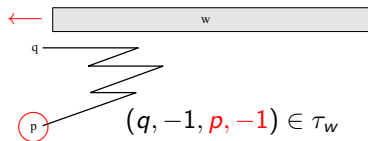
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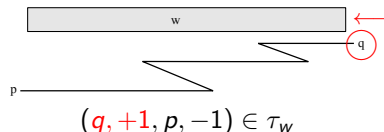
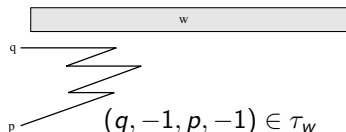
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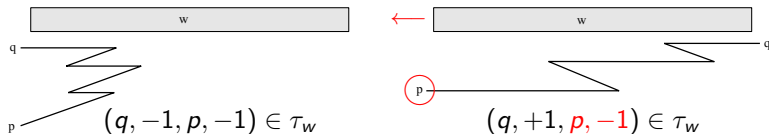
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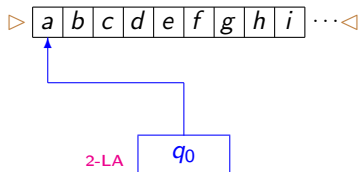


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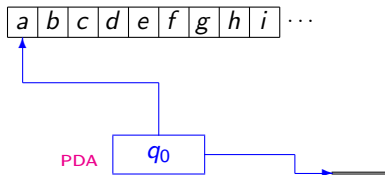
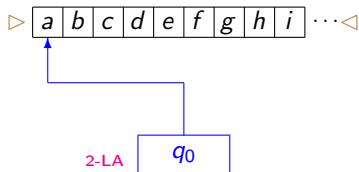
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*Initial configuration*



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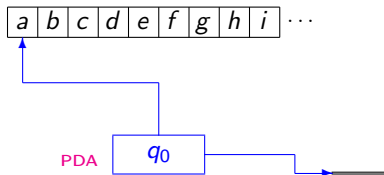
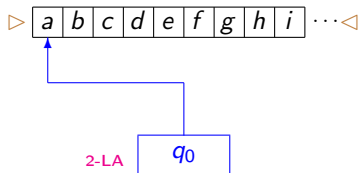
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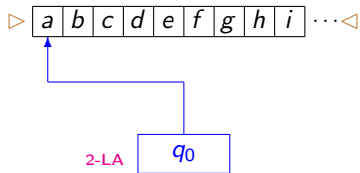


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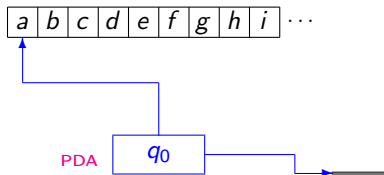
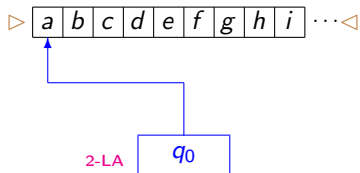
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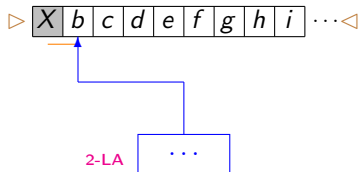
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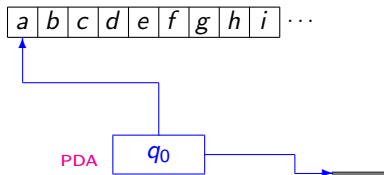
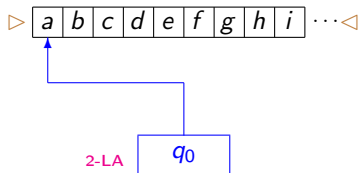
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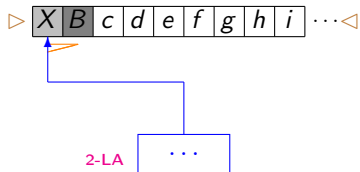
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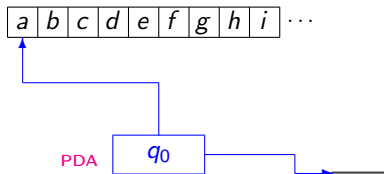
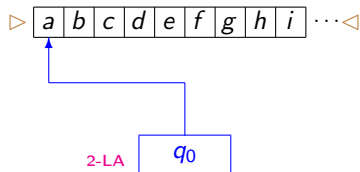
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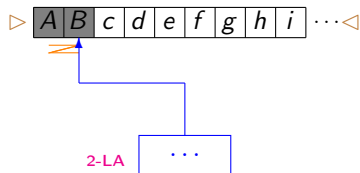
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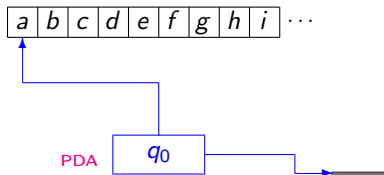
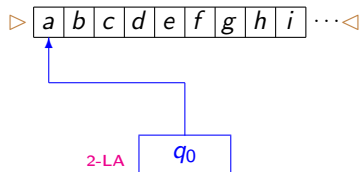
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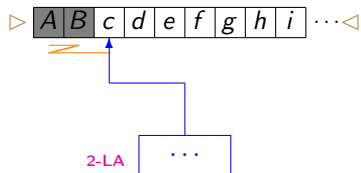
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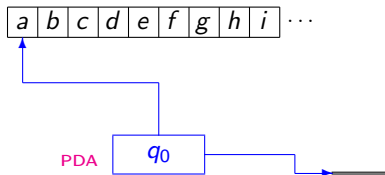
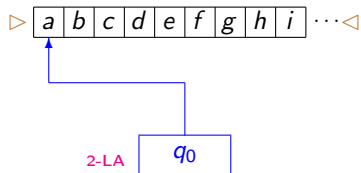
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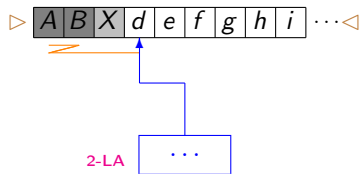
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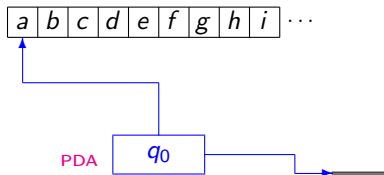
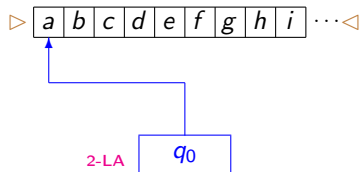
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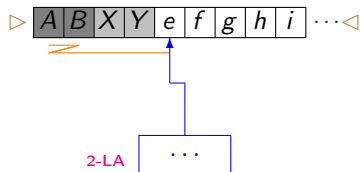
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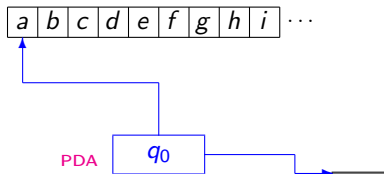
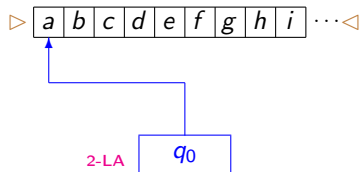
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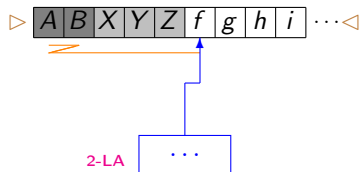
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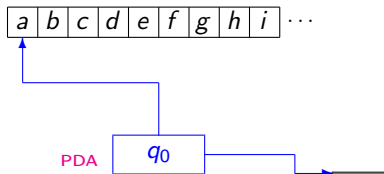
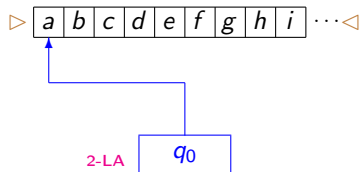


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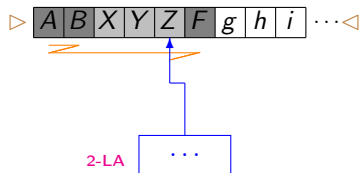


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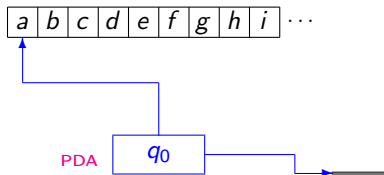
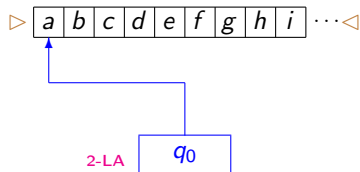
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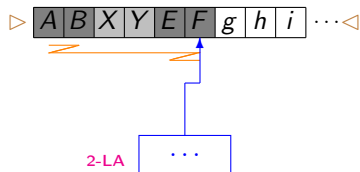
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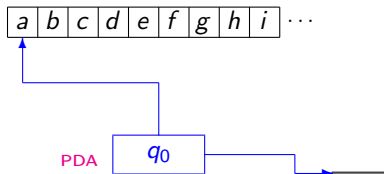
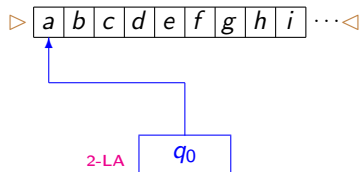
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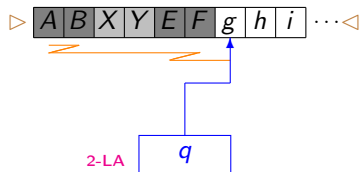
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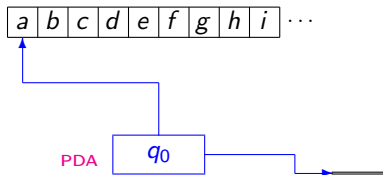
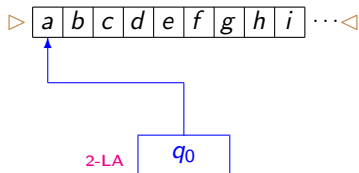
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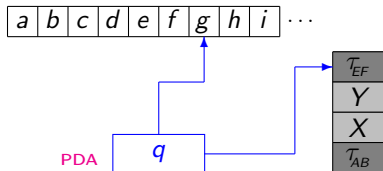
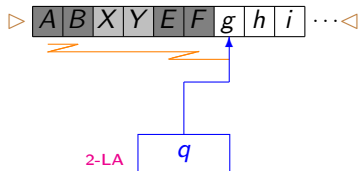
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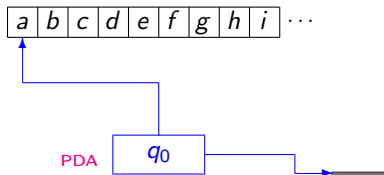
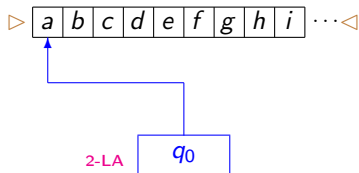


*After some steps...*

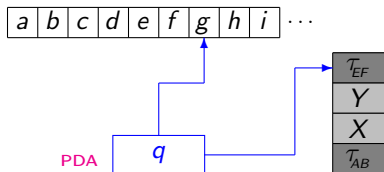
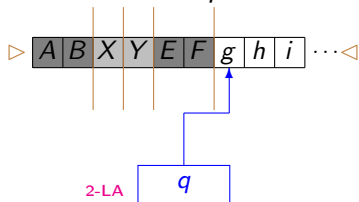


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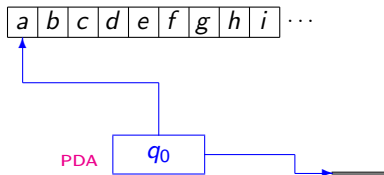
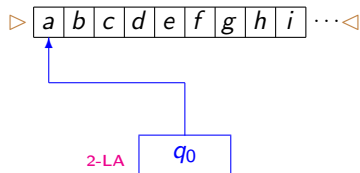


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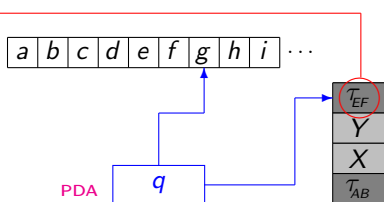
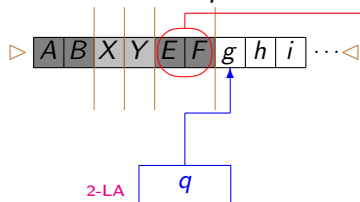


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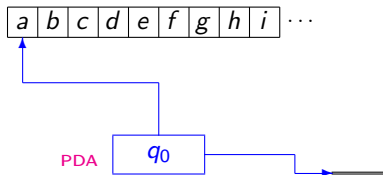
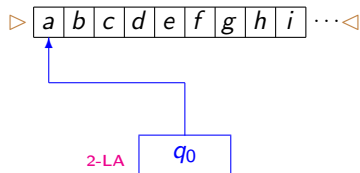


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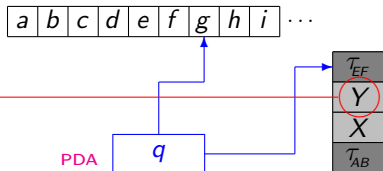
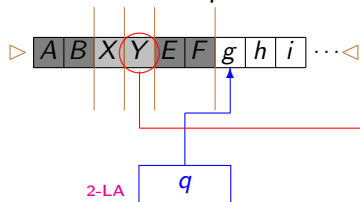


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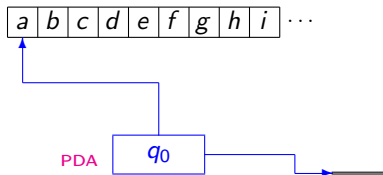
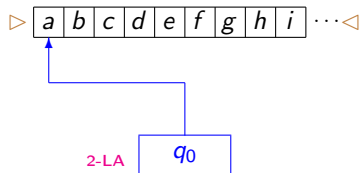


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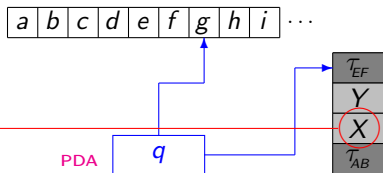
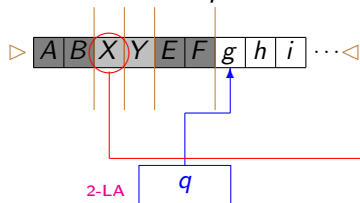


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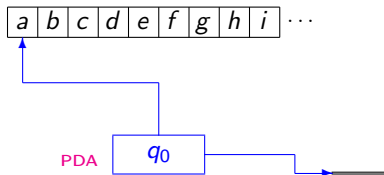
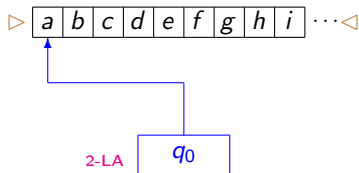
*After some steps...*



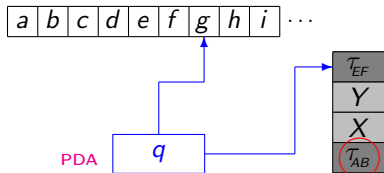
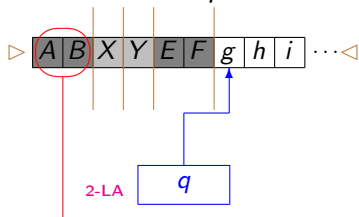


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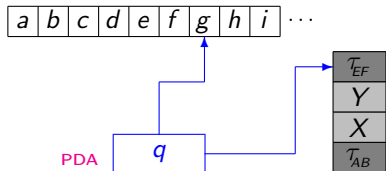
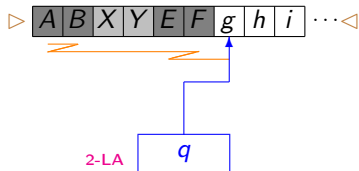
*Initial configuration*



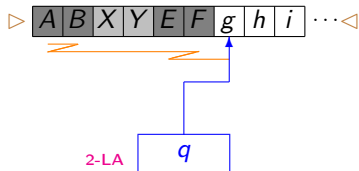
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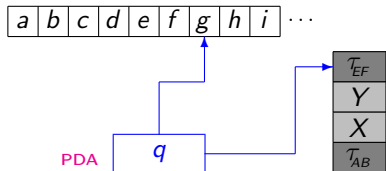
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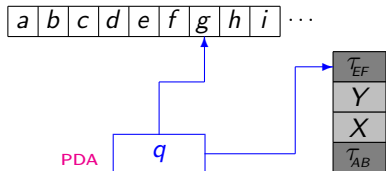
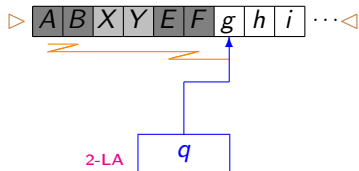
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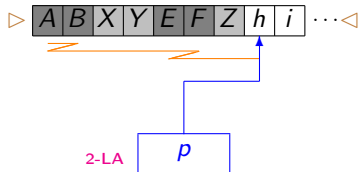
$\delta(q, g) \ni (p, Z, +1)$   
 move to the right  
 $\Downarrow$



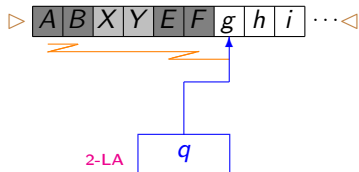
# Simulation of 2-LAs by PDAs



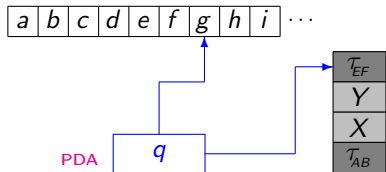
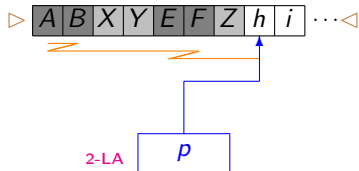
$\delta(q, g) \ni (p, Z, +1)$   
move to the right



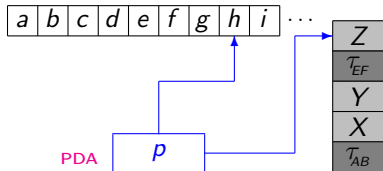
# Simulation of 2-LAs by PDAs



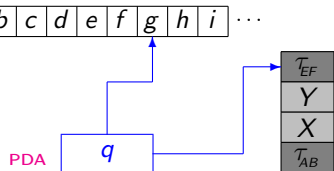
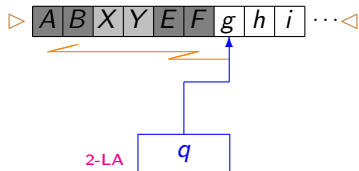
$\delta(q, g) \ni (p, Z, +1)$   
move to the right



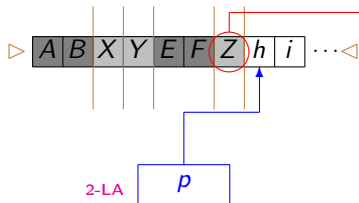
normal mode  
push and direct simulation



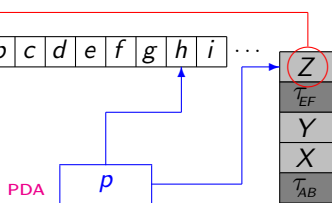
# Simulation of 2-LAs by PDAs



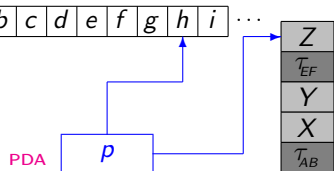
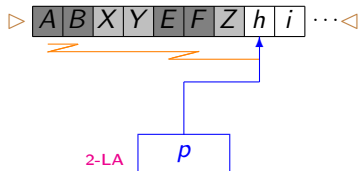
$\delta(q, g) \ni (p, Z, +1)$   
move to the right



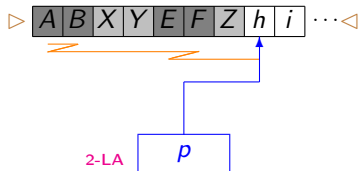
normal mode  
push and direct simulation



# Simulation of 2-LAs by PDAs

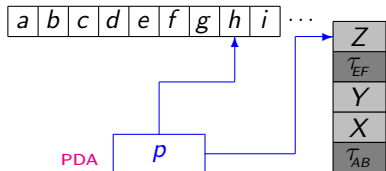


# Simulation of 2-LAs by PDAs



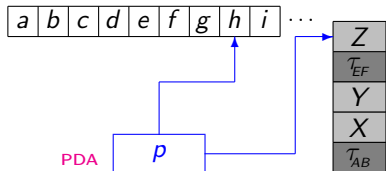
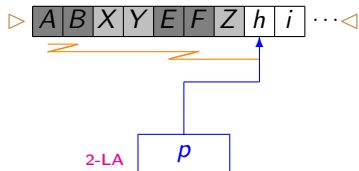
$$\delta(p, h) \ni (r, H, -1)$$

move to the left



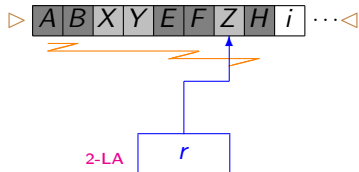


# Simulation of 2-LAs by PDAs

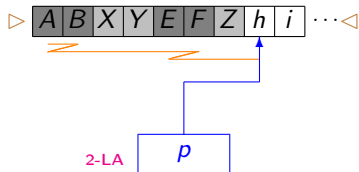


$$\delta(p, h) \ni (r, H, -1)$$

move to the left



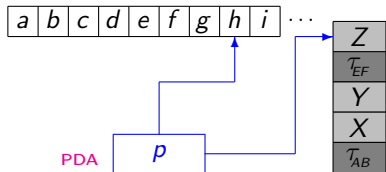
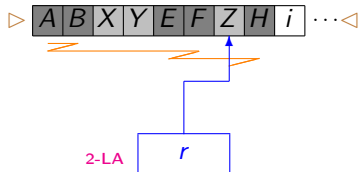
# Simulation of 2-LAs by PDAs



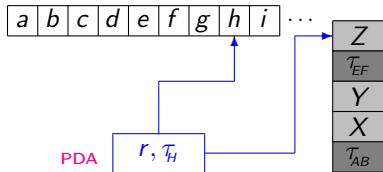
$$\delta(p, h) \ni (r, H, -1)$$

move to the left

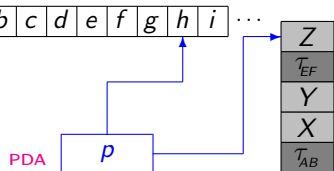
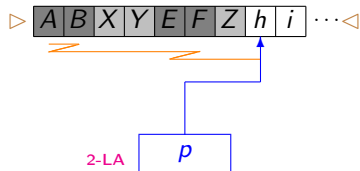
$\Downarrow$



back mode

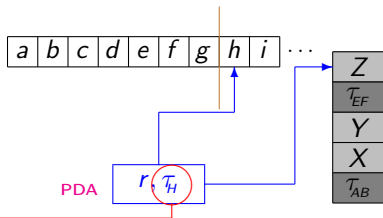
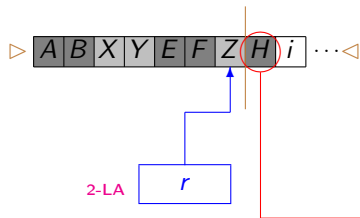


# Simulation of 2-LAs by PDAs

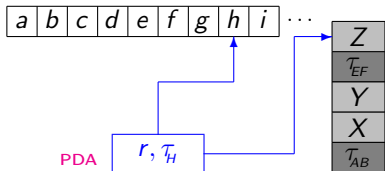
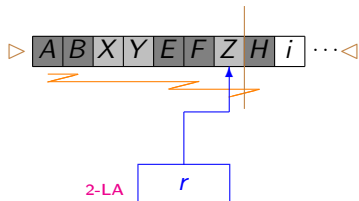


$\delta(p, h) \ni (r, H, -1)$   
move to the left

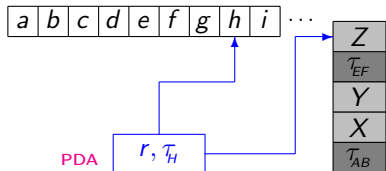
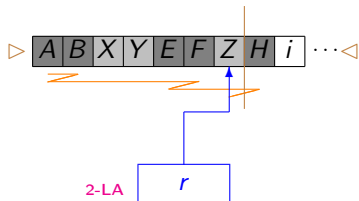
back mode



# Simulation of 2-LAs by PDAs



# Simulation of 2-LAs by PDAs

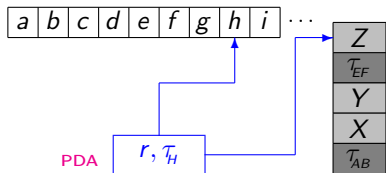
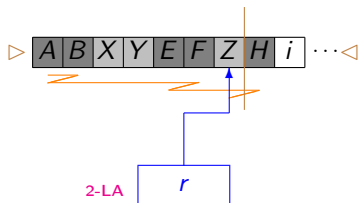


$$\delta(r, Z) \ni (q, G, -1)$$

move to the left

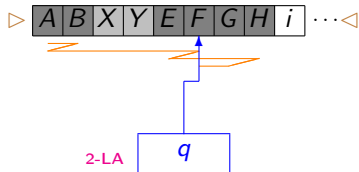


# Simulation of 2-LAs by PDAs

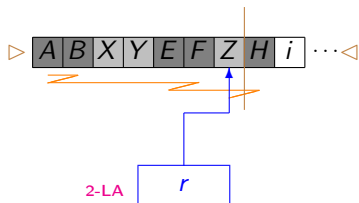


$$\delta(r, Z) \ni (q, G, -1)$$

move to the left

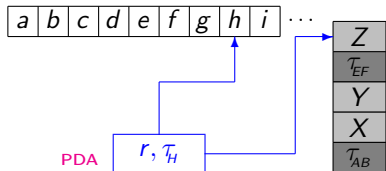
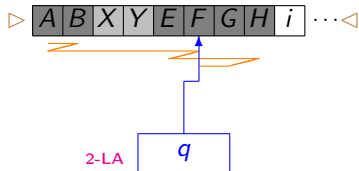


# Simulation of 2-LAs by PDAs

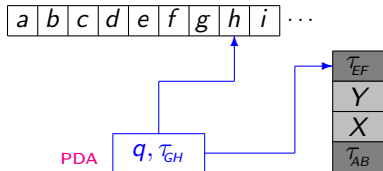


$$\delta(r, Z) \ni (q, G, -1)$$

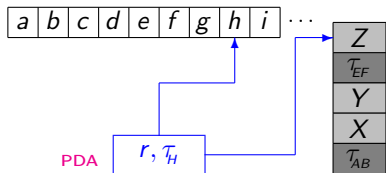
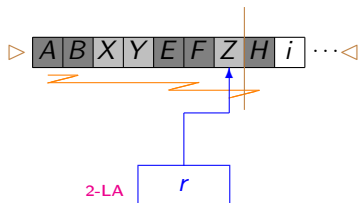
move to the left



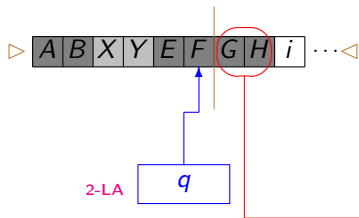
back mode



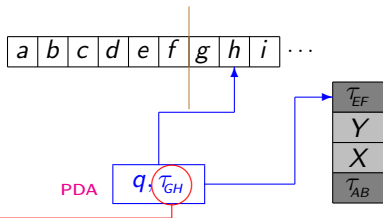
# Simulation of 2-LAs by PDAs



$\delta(r, Z) \ni (q, G, -1)$   
move to the left

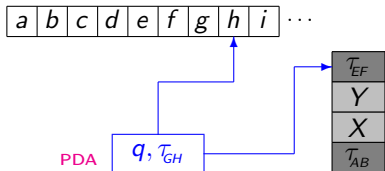
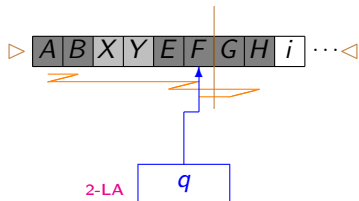


back mode

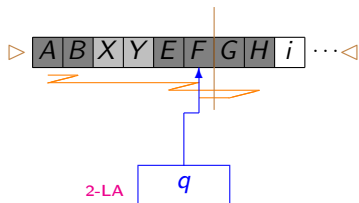




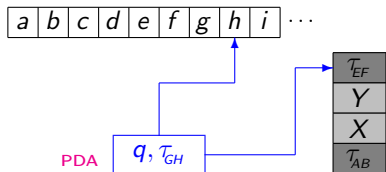
# Simulation of 2-LAs by PDAs



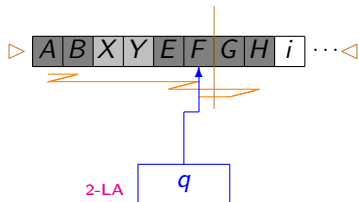
# Simulation of 2-LAs by PDAs



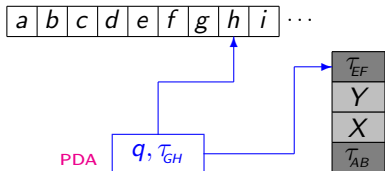
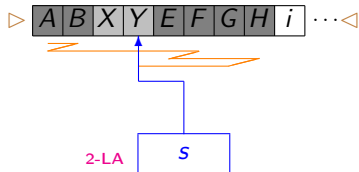
$(q, +1, s, -1) \in \tau_{EF}$   
 exit to the left  
 $\Downarrow$



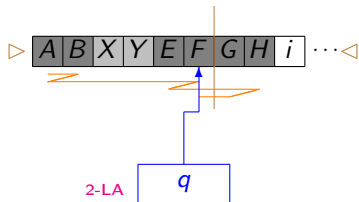
# Simulation of 2-LAs by PDAs



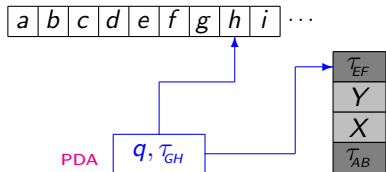
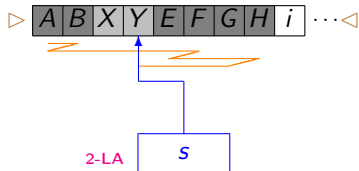
$(q, +1, s, -1) \in \tau_{EF}$   
exit to the left



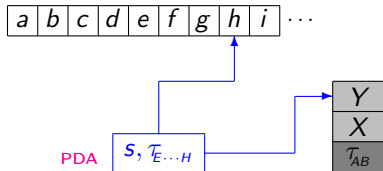
# Simulation of 2-LAs by PDAs



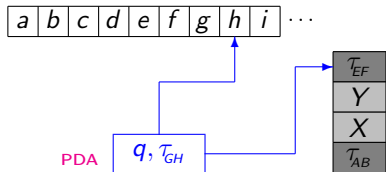
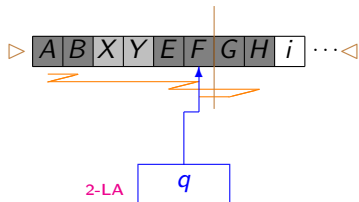
$(q, +1, s, -1) \in \tau_{EF}$   
exit to the left  
 $\Downarrow$



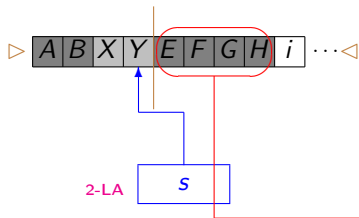
back mode  
 $\Downarrow$



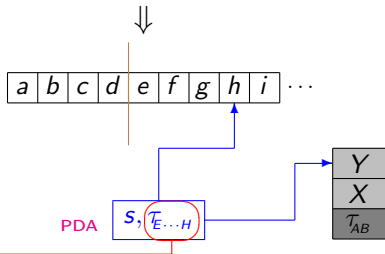
# Simulation of 2-LAs by PDAs



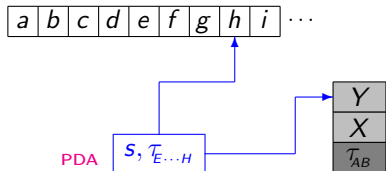
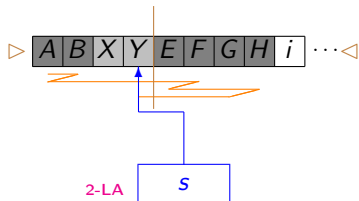
$(q, +1, s, -1) \in \tau_{EF}$   
exit to the left



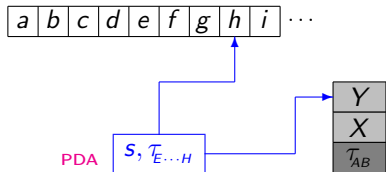
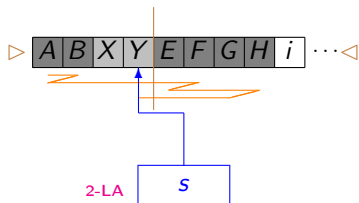
back mode



# Simulation of 2-LAs by PDAs



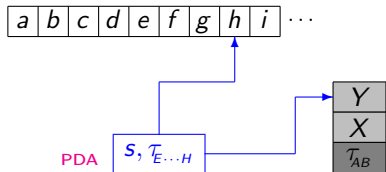
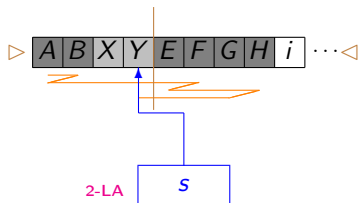
# Simulation of 2-LAs by PDAs



$\delta(s, Y) \ni (p, D, +1)$   
move to the right

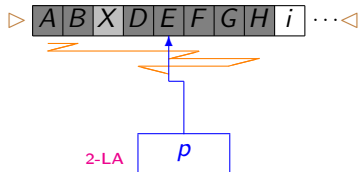


# Simulation of 2-LAs by PDAs



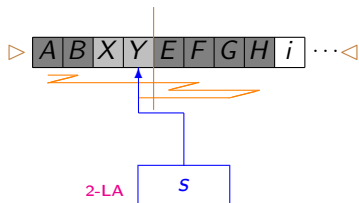
$$\delta(s, Y) \ni (p, D, +1)$$

move to the right



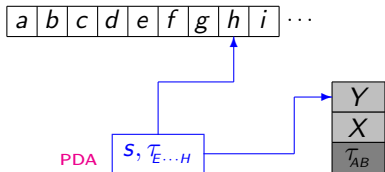
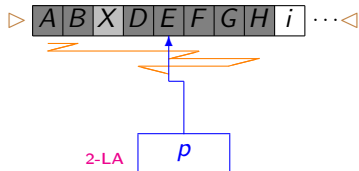


# Simulation of 2-LAs by PDAs

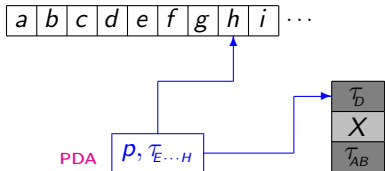


$$\delta(s, Y) \ni (p, D, +1)$$

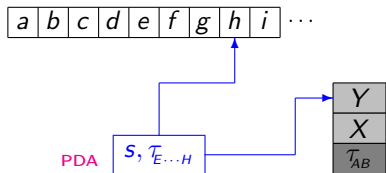
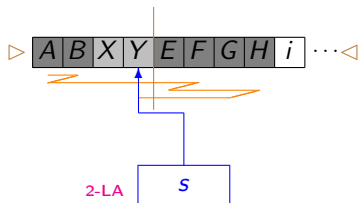
move to the right



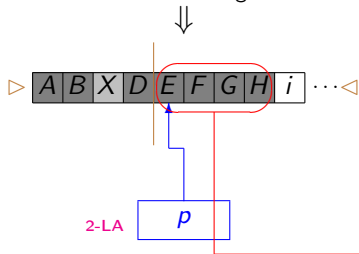
back mode



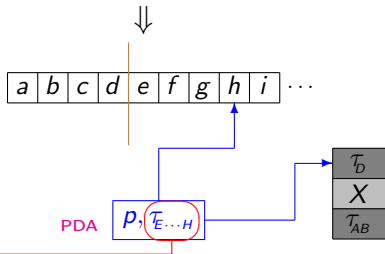
# Simulation of 2-LAs by PDAs



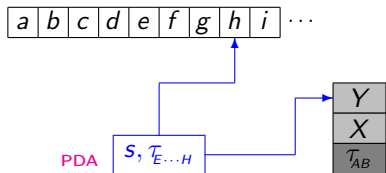
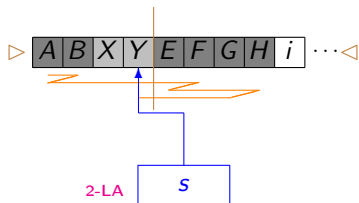
$\delta(s, Y) \ni (p, D, +1)$   
move to the right



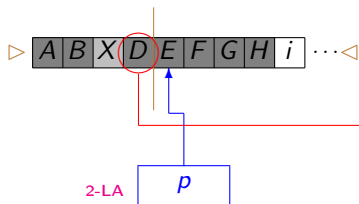
back mode



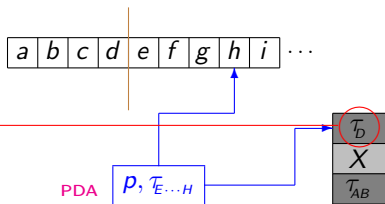
# Simulation of 2-LAs by PDAs



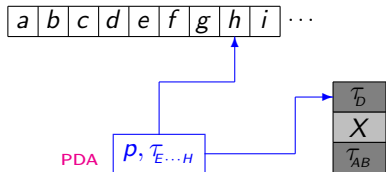
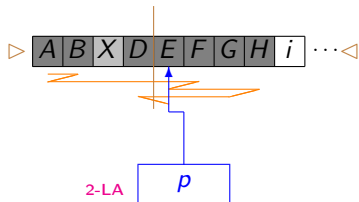
$\delta(s, Y) \ni (p, D, +1)$   
move to the right



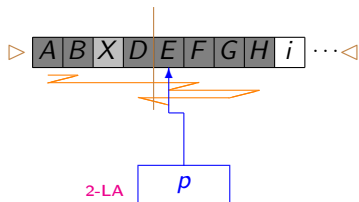
back mode



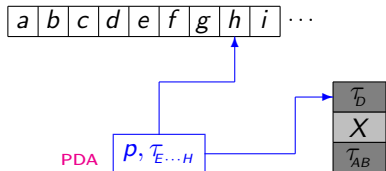
# Simulation of 2-LAs by PDAs



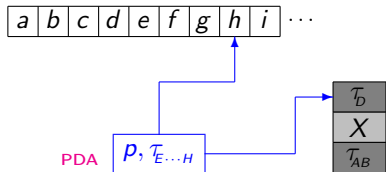
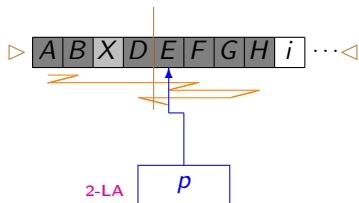
# Simulation of 2-LAs by PDAs



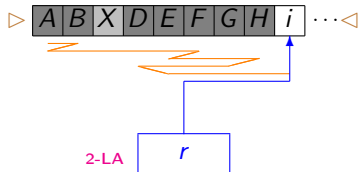
$(p, -1, r, +1) \in \tau_{E \dots H}$   
 exit to the right  
 $\Downarrow$



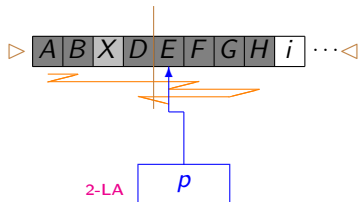
# Simulation of 2-LAs by PDAs



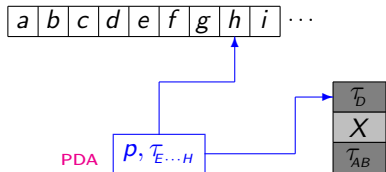
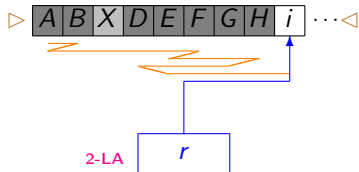
$(p, -1, r, +1) \in \tau_{E...H}$   
exit to the right



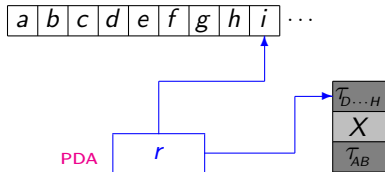
# Simulation of 2-LAs by PDAs



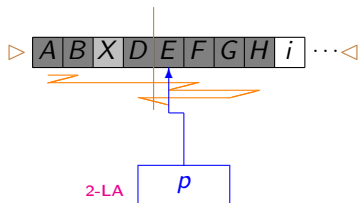
$(p, -1, r, +1) \in \tau_{E \dots H}$   
exit to the right  
 $\Downarrow$



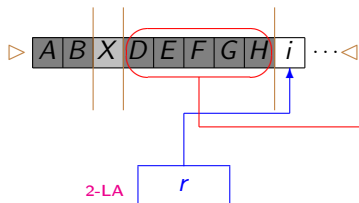
resume normal mode  
move to the right  
 $\Downarrow$



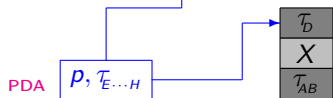
# Simulation of 2-LAs by PDAs



$(p, -1, r, +1) \in \tau_{E \dots H}$   
exit to the right



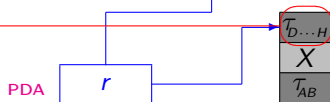
$a \ b \ c \ d \ e \ f \ g \ h \ i \ \dots$



resume normal mode  
move to the right

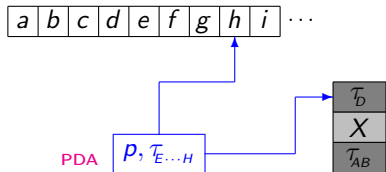
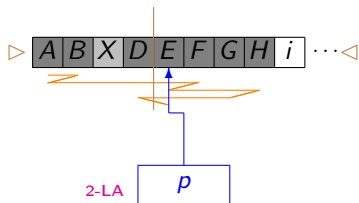


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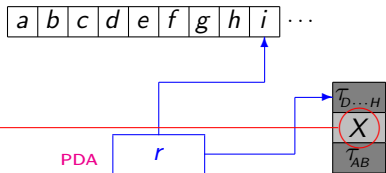
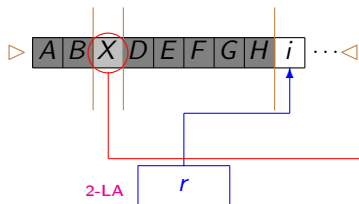


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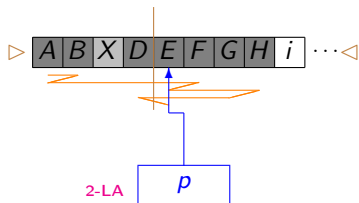


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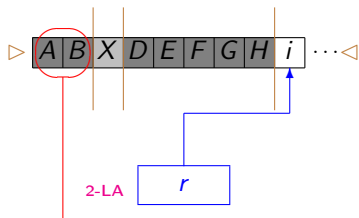
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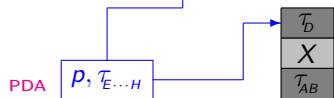
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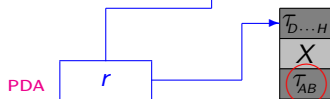
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Summing up...

Given a 2-LA  $M$  with:

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2-LAs  $\rightarrow$  PDAs

Exponential cost



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# Simulation of 2-LAs by PDAs

## Cost of the simulation

- ▶ Exponential size for the simulation of 2-LAs by PDAs
- ▶ Optimal

# Computational Power of Limited Automata

From the simulations:

- ▶ 2-Limited Automata  $\equiv$  CFLs

What about  $d$ -Limited Automata, with  $d > 2$ ?

- ▶ They still characterize CFLs [Hibbard '67]
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Main idea: transformation of *two-way* NFAs into *one-way* DFAs  
[Shepherdson '59]

- ▶ First visit to a cell: direct simulation
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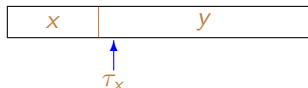
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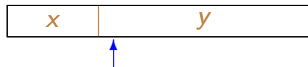


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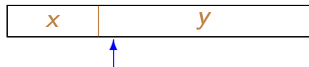


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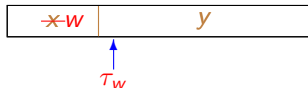


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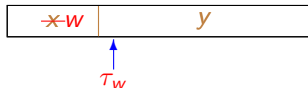


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The simulating DFA keeps in its finite control a  
*sets of transition tables*

# 1-Limited Automata $\rightarrow$ Finite Automata: Upper Bounds

## Theorem

*Let  $M$  be a 1-LA with  $n$  states.*

- ▶ There exists an equivalent DFA with  $2^{n \cdot 2^{n^2}}$  states.*
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*If  $M$  is deterministic then there exists an equivalent DFA with no more than  $n \cdot (n + 1)^n$  states.*

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	DFA	NFA
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det. 1-LA		

These upper bounds do not depend on the alphabet size of  $M$ !

The gaps are optimal!

# 1-Limited Automata $\rightarrow$ Finite Automata: Upper Bounds

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Let  $M$  be a 1-LA with  $n$  states.

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Example ( $n = 3$ ): 0 0 1 1 1 0 0 1 1 1 1 0 1 1 0 1 1 1 0 1 1



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# How to Recognize $L_n$ : 1-Limited Automata

- ▶ Nondeterministic strategy:  
*Guess* the leftmost positions of  $n$  input blocks containing the same factor and *Verify*
- ▶ Implementation (3 tape scans):
  1. Mark  $n$  tape cells
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## ► Idea:

- For each  $x \in \{0, 1\}^n$  count how many blocks coincide with  $x$
- Accept if and only if one of the counters reaches the value  $n$

## ► State upper bound:

- Finite control:
  - a counter (up to  $n$ ) for each possible block of length  $n$
- There are  $2^n$  possible different blocks of length  $n$
- Number of states double exponential in  $n$   
more precisely  $(2^n - 1) \cdot n^{2^n} + n$

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The state gap between 1-LAs and DFAs is double exponential!

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- *Guess*  $x \in \{0, 1\}^n$
- *Verify* whether or not  $n$  blocks in the input contains  $x$

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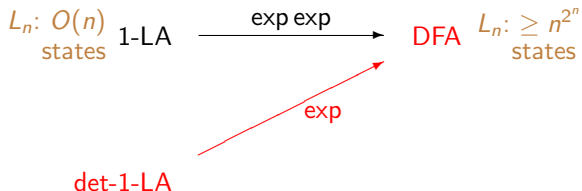
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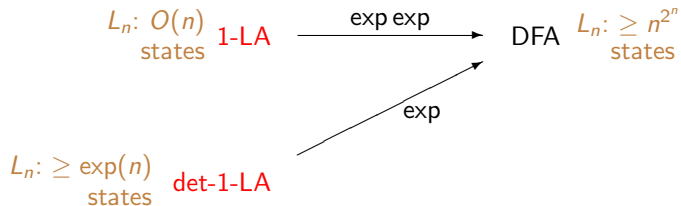
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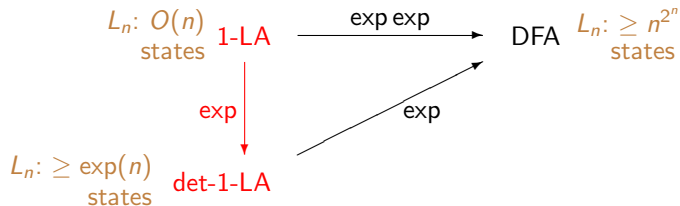




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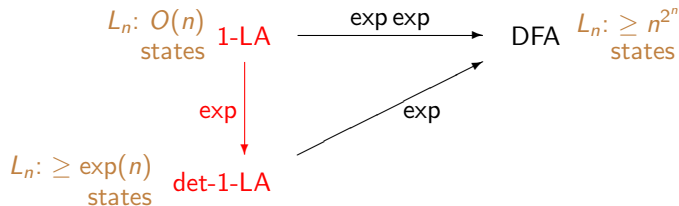
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## Corollary

*Removing nondeterminism from 1-LAs requires exponentially many states*

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*Removing nondeterminism from 1-LAs requires exponentially many states*

Cfr. Sakoda and Sipser question [Sakoda&Sipser '78]:

How much it costs in states to remove nondeterminism  
from two-way finite automata?

# Strongly Limited Automata

# Different Restrictions

- ▶ Dyck languages are accepted without fully using capabilities of 2-limited automata
- ▶ Chomsky-Schützenberger Theorem: Recognition of CFLs can be reduced to recognition of Dyck languages

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## Question

*Is it possible to restrict 2-limited automata without affecting their computational power?*

YES!

## Forgetting Automata

[Jancar&Mráz&Plátek '96]

- ▶ The content of any cell can be erased in the 1st or 2nd visit (using a fixed symbol)
- ▶ No other changes of the tape are allowed



- ▶ Model inspired by the algorithm used by 2-limited automata to recognize Dyck languages
- ▶ Restrictions on
  - state changes
  - head reversals
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# Dyck Language Recognition



- ▶ Moves to the right:
  - to search a closed bracket
- ▶ Moves to the left:
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  - to check the tape content in the final scan from right to left
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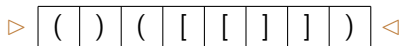
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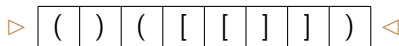
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# Strongly Limited Automata

- ▶ Alphabet

- $\Sigma$  input

- $\Gamma$  working

- ▶ States and moves

- $q_0$  initial state, moving from left to right

- $q_f$  moving from right to left

- Read only

- State  $q$  is reached when  $q$  is left and

- and the membership of the tape content in  $\Sigma^*$  belongs



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- ▶ Alphabet

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- ▶ States and moves

  - $q_0$  initial state, moving from left to right

    - $\rightarrow$  move to the right

    - $\xrightarrow{X}$  write  $X \in \Gamma$ , enter state  $q \in Q_L$ , turn to the left

  - $Q_L$  moving from right to left

    - $\leftarrow$  move to the left

    - $\xleftarrow{X}$  write  $X$ , do not change state, move to the left

    - $\xleftarrow{X} q_0$  write  $X$ , enters state  $q_0$ , turn to the right

  - $Q_T$  final scan

    - when  $\triangleleft$  is reached move from right to left and  
test the membership of the tape content to a "local" language

# Strongly Limited Automata

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- ▶ States and moves

  - $q_0$  initial state, moving from left to right

    - $\rightarrow$  move to the right

    - $q \xleftarrow{X}$  write  $X \in \Gamma$ , enter state  $q \in Q_L$ , turn to the left

  - $Q_L$  moving from right to left

    - $\leftarrow$  move to the left

    - $\xleftarrow{X}$  write  $X$ , do not change state, move to the left

    - $\xleftarrow{X} q_0$  write  $X$ , enters state  $q_0$ , turn to the right

  - $Q_T$  final scan

    - when  $\triangleleft$  is reached move from right to left and

    - test the membership of the tape content to a "local" language

# Strongly Limited Automata

- ▶ Alphabet

  - $\Sigma$  input

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$Q_L$  moving from right to left

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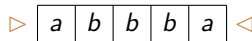
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# Strongly Limited Automata: Palindromes

$$\Sigma = \{a, b\}, \Gamma = \{X, Y, Z\}$$

$q_0$

$$Q_L = \{q_a, q_b\}$$

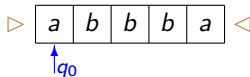


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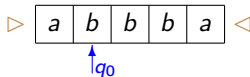
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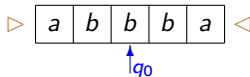
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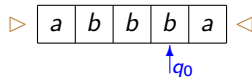
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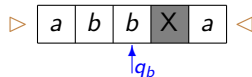
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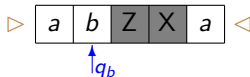
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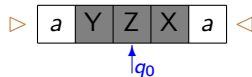


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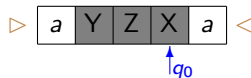
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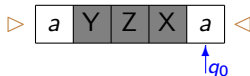
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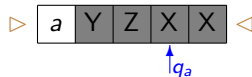
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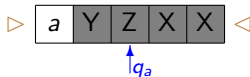
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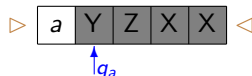
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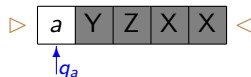
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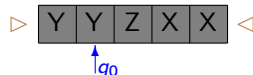
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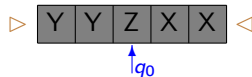


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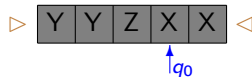
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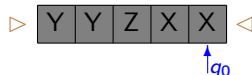
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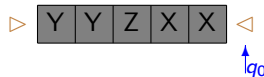
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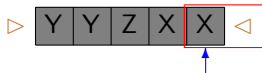
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*Final phase:*

- ▶ The string between the end-markers should belong to

$$Y^*ZX^* + Y^*X^*$$

with the exceptions of inputs of length  $\leq 1$

- ▶ The following two-letter factors are allowed:

▷Y   YY   YZ   ZX   YX   XX   X◁

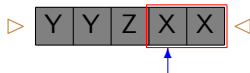
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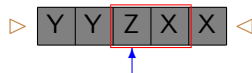
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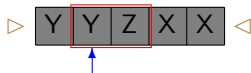
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# Strongly Limited Automata: Palindromes

$$\Sigma = \{a, b\}, \Gamma = \{X, Y, Z\}$$

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$$Q_L = \{q_a, q_b\}$$



*Final phase:*

- ▶ The string between the end-markers should belong to

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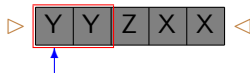


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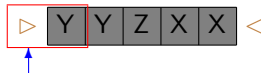
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- ▶ Computational power: same as 2-limited automata (CFLs)
- ▶ Descriptive power: the sizes of equivalent
  - CFGs
  - PDAs
  - strongly limited automataare polynomially related
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What is the power of *deterministic* strongly limited automata?

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- ▶ Deterministic languages as

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Proper subclass of deterministic context-free languages

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# Final Remarks



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[Wechsung & Brandstädt '79]

Thank you for your attention!