

Deterministic Pushdown Automata and Unary Languages

Giovanni Pighizzini

Dipartimento di Informatica e Comunicazione
Università degli Studi di Milano

Mathematical Institute
Slovak Academy of Sciences – Košice
November 13th, 2008

Outline of the talk

- Context-free grammars and pda's vs regular languages: some descriptonal complexity results
- Exponential simulation of unary dpda's by dfa's
- Optimality of the simulation
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Context-free vs regular: descriptive complexity

Given a context-free grammar (or a pushdown automaton) of size n , generating a regular language, how much is big an equivalent finite automaton, wrt n ?

Theorem ([Meyer and Fischer, 1971])

For any recursive function f and arbitrarily large integers n , there exists a cfg G of size n generating a regular language L , s.t. any dfa accepting L must have at least $f(n)$ states.

As a consequence, the trade-off between context-grammars and finite automata is not recursive.

However... The set of all languages L is ordered over a binary relation \leq .

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Unary languages

$$\Sigma = \{a\}$$

Theorem ([Ginsurg and Rice, 1962])

Every unary context-free language is regular.

Hence the classes of unary regular languages and unary *context-free* languages coincide!

Problem

Study the equivalence between unary context-free and regular languages from the descriptive complexity point of view.

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Corollary

Each unary pda with n states and m stack symbols, s.t. each push adds exactly one symbol, can be simulated by a dfa with $2^{O(n^4 m^2)}$ states.

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- Each dpda of size s accepting a regular language can be simulated by a dfa with $2^{2^{2^s}}$ states. [Stearns, 1967]
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Number of its states

- Size of a pushdown automaton:

Total number of symbols needed to write down its description.

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- the number of the states
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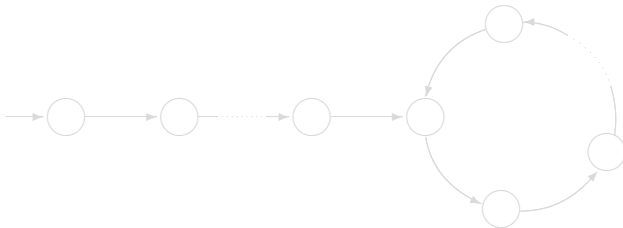
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Unary dfa's

Input alphabet $\Sigma = \{a\}$



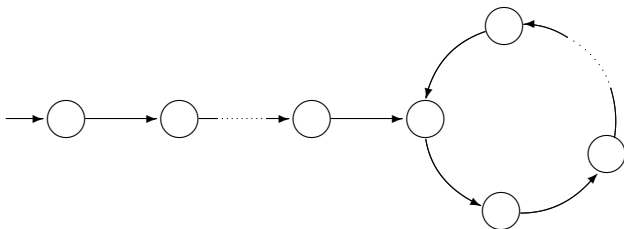
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$L \subseteq \{a\}^*$ is regular iff $\exists \mu \geq 0, \lambda \geq 1$ s.t.

$$\forall n \geq \mu: a^n \in L \text{ iff } a^{n+\lambda} \in L.$$

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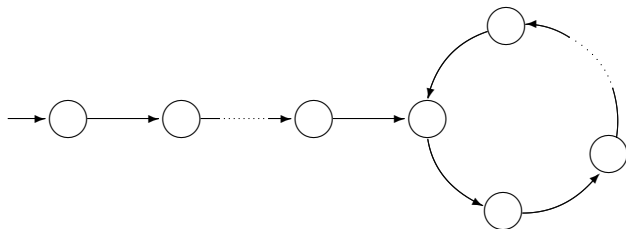
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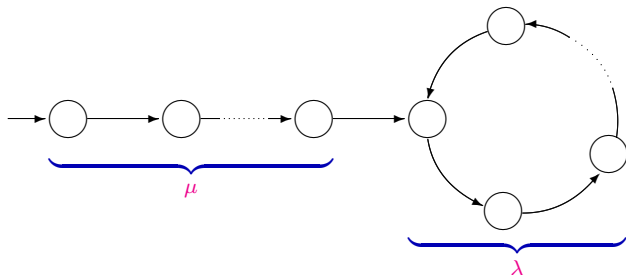
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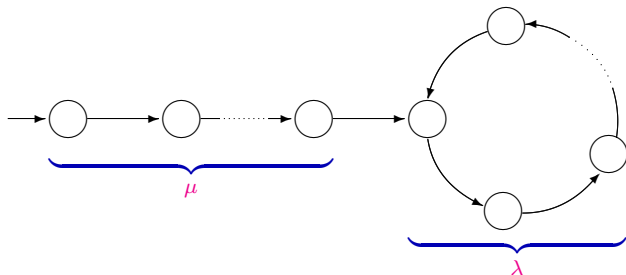
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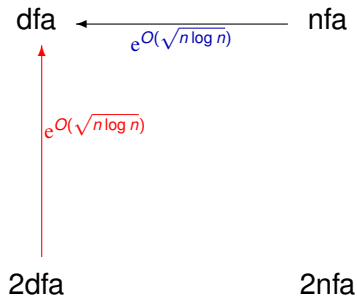
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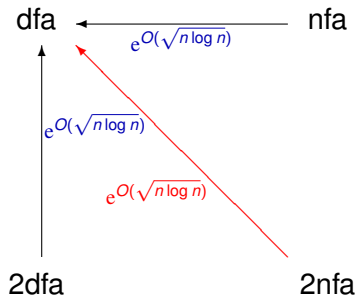
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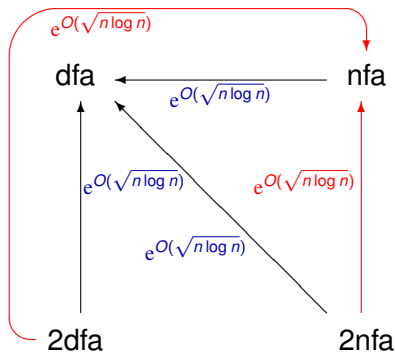
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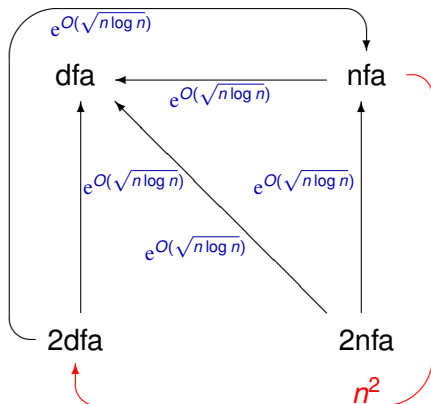
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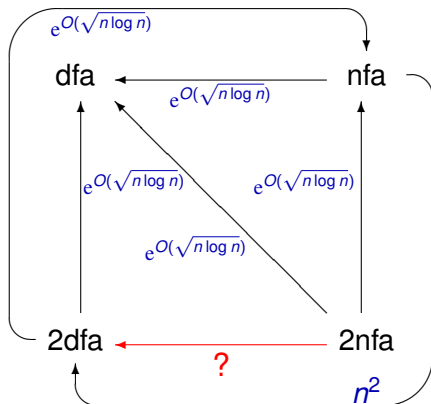
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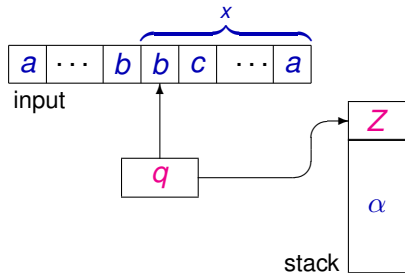
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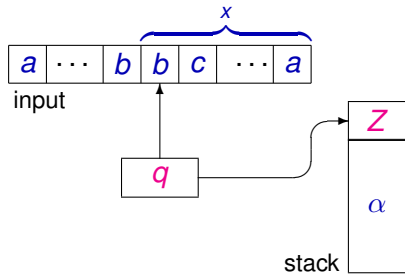
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- $(q, x, Z\alpha)$ configuration
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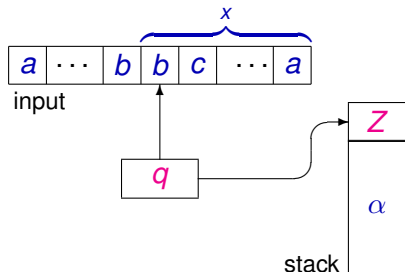
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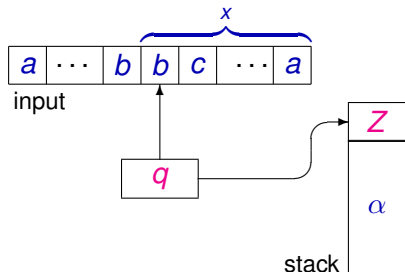
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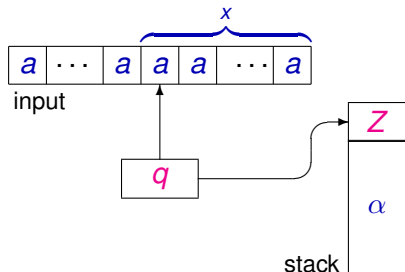
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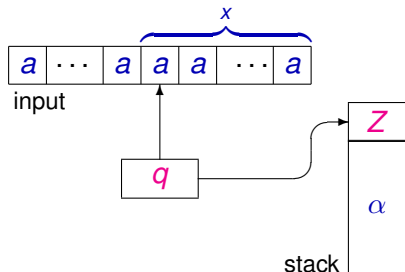
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For each integer $t \geq 0$:

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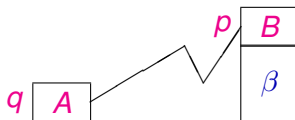
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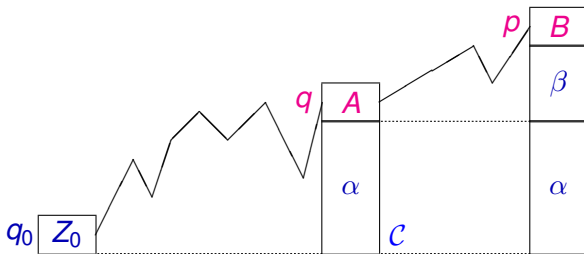
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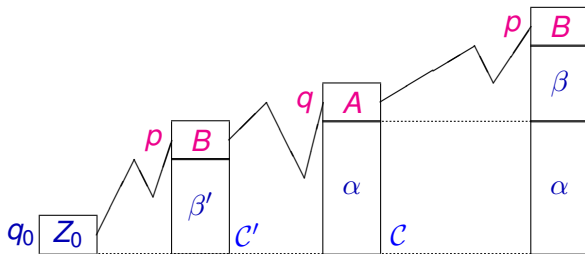
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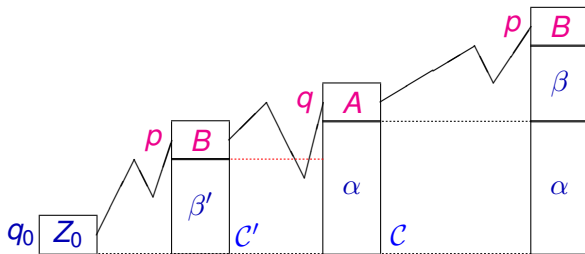
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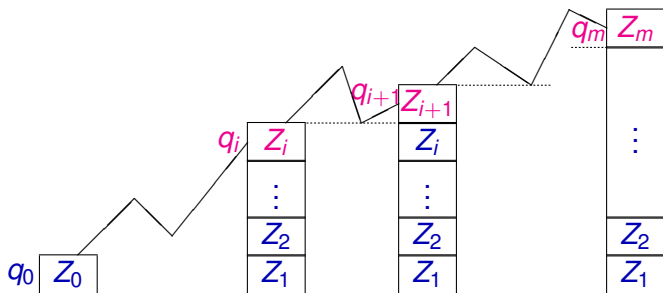
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Lemma

The relation \leq defines a partial order on the set of the modes.

h_t history at the time t

Stack content + state information



History h_t at the time t :

sequence of modes $[q_m Z_m] \dots [q_1 Z_1]$ s.t.:

- $Z_m \dots Z_1$ is the stack content after t computation steps
- for $i = 1, \dots, m$, $[q_i Z_i]$ is the mode of the last visited configuration with stack height i

Histories and modes

For each step $t \geq 0$ we consider:

- h_t history
- m_t mode (leftmost element of h_t)

For the given dpda M we consider:

- $H = \{h_t \mid t \geq 0\}$, the set all *reachable histories*
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Two possibilities:

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- The number of the states of A is bounded by the number of histories without repetitions
- If an history $[q_m Z_m] \dots [q_1 Z_1]$ does not contain any repetition, then $[q_1 Z_1] \leq [q_2 Z_2] \leq \dots \leq [q_m Z_m]$
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Case 1: Every history belonging to H does not contain a repeated mode

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Case 2: At least one history in H contains a repetition

- The histories in H grow in a periodic way, i.e.:
 $\exists \mu \geq 0, \lambda \geq 1, \exists$ sequences of modes $\tilde{h}_0, \tilde{h}_1, \dots, \tilde{h}_\lambda$ s.t. for $t \geq \mu$, the history at the step t is:

$$h_t = \tilde{h}_{t \bmod \lambda} (\tilde{h}_\lambda)^{\lfloor \frac{t-\mu}{\lambda} \rfloor} h_\mu$$

- The sequence $(m_t)_{t \geq 0}$ is ultimately periodic (period λ , from $t \geq \mu$)
- The language can be accepted by a deterministic automaton A with at most $\lambda + \mu$ states
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Unary dpda's vs dfa's

As a consequence:

Theorem

Each unary dpda of size s can be simulated by a dfa with $2^{O(s)}$ states.

What about the optimality of this simulation?

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Unary dpda's vs dfa's: lower bound

Given $s > 0$ consider $L_s = (a^{2^s})^*$.

We can prove that:

- There exists a dpda of size $8s + 4$ accepting L_s .
- Each dfa accepting L_s must have at least 2^s states.

Hence our simulation is optimal!

Problem: Does it is possible to reduce the cost of the simulation of unary dpda's, by using *nondeterministic* or *two-way* finite automata?

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Unary dpda's vs 2nfa's

We recall the following result:

Theorem ([Mereghetti, Pighizzini, 2000])

$L \subseteq a^*$ ultimately properly λ -cyclic, with $\lambda = p_1^{k_1} \cdot p_2^{k_2} \cdots p_s^{k_s}$, for primes p_1, \dots, p_s , integers $k_1, \dots, k_s \geq 1$.

Then each 2nfa accepting L must have at least $p_1^{k_1} + p_2^{k_2} + \cdots + p_s^{k_s}$ states in its cycles.

- We consider again $L_s = (a^{2^s})^*$, $s > 0$
- L_s is properly 2^s -cyclic
- Hence, each two-way nondeterministic automaton accepting L_s needs 2^s states

Since L_s is accepted by a dpda with $O(s)$ states, we get that:

Even the cost of the optimal simulation of unary dpda's by 2nfa's is exponential!

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How to transform pda's into equivalent cfg's?

The standard construction uses the following set of variables:

$$\{S\} \cup \{[qAp] \mid q, p \in Q, A \in V\}$$

with a set of productions such that

$$[qAp] \xrightarrow{*} w \text{ iff } (q, w, A) \vdash^* (p, \epsilon)$$

Hence, the total number of variables is $(\#Q)^2 \cdot \#\Gamma + 1$.

This number cannot be reduced, even if the given pda is deterministic [Goldstine, Price, Wotschke, 1982].

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From unary dpda's to cfg's (outline)

- For each mode $[qA]$ there exists at most one state p and one string $x \in a^*$ such that $(q, x, A) \vdash^* (p, \epsilon, \epsilon)$
- Given $[qA]$ we consider two variables:
 - $[qA]_0$ is used to generate the only string, if any, accepted by *empty stack* starting from the mode $[qA]$, i.e.,

$$[qA]_0 \stackrel{\pm}{\Rightarrow} x \text{ iff } (q, x, A) \vdash^* (p, \epsilon, \epsilon), p \in Q$$

- $[qA]_1$ is used to generate all strings accepted by *final states* starting from the mode $[qA]$, i.e.,

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- Hence, $[q_0S] \stackrel{\pm}{\Rightarrow} x$ iff x is accepted by the given pda.

Each *unary deterministic* pda's in normal form with n states and m pushdown symbols can be converted into an equivalent cfg with $2mn$ variables.

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Languages with “complex” dpda’s

Unary dpda’s can be exponentially more succinct than dfa’s.
Does this is true for *each* unary regular language?

Problem

For $m \geq 0$, let $L_m \subseteq a^*$ be a language accepted by a dfa with 2^m states.

Does there exists an equivalent dpda with $O(m)$ states?

The answer to this question is negative:

For each $m > 0$ there exists a language $L_m \subseteq a^*$ s.t.:

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w_m **de Bruijn word** of order m on $\{0, 1\}$:

- $|w_m| = 2^m + m - 1$
- each string of length m is a factor of w_m , occurring in w_m exactly one time
- the suffix and the prefix of length $m - 1$ of w_m coincide.

$L_m = \{a^k \mid \text{the letter of } w_m \text{ in position } k \text{ MOD } 2^m \text{ is } 1\}$,
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The language L_m is periodic, with period 2^m . Hence:

L_m is accepted by a dfa with 2^m states

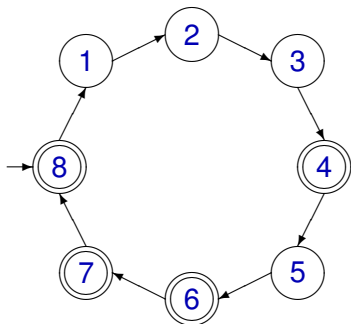
Languages with “complex” dpda's

Example: $m = 3$

de Bruijn word $w_3 = 0001011100$

$$L_3 = \{a^0, a^4, a^6, a^7\}\{a^8\}^*$$

The language L_3 is accepted by the following automaton:



Languages with “complex” dpda's

- M : a dpda of size s accepting L_m
- M' : M extended with an output tape to generate the de Bruijn word
- A : a dfa with $m + 1$ states, input alphabet $\{0, 1\}$, ending and accepting when the last m input symbols coincide with the suffix of length m of w_m
- M'' : a dpda of size $O(ms)$, composition of M' and A , accepting $\{a^{2^m+m-1}\}$
- G : cfg grammar of size $O(ms)$, obtained from M'' , generating $\{w_m\}$

Lemma (Grossi, 2002)

The size of each grammar G generating $\{w_m\}$ must be at least $c \frac{2^m}{m}$, for some constant c .

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Lemma ([Domaratzki, Pighizzini, Shallit, 2002])

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Hence $s \geq d \frac{2^{2m}}{m^2}$, for some $d > 0$.

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As a consequence we get the following *lower bound*:

Corollary

There exists a constant $K > 0$ such that the conversion of unary n -state dfa's into equivalent dpda's produces dpda's of size at least $K \frac{n}{\log^2 n}$, for infinitely many n 's.

Related questions and results

Bounded languages:

Subsets of $w_1^* w_2^* \dots w_n^*$, for given words w_1, \dots, w_n .

Extend the investigation to *bounded deterministic context-free* languages:

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In the nondeterministic case we have the following:

Theorem (Pigeonhole Principle)

Each bounded context-free language generated by a cfg with h variables in Chomsky normal form is accepted by a finite-turn pda with 2^h and $O(1)$ stack symbols.

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What about *two-way* dpda's?

- 2dpda's are very powerful, even in the unary case: e.g., the unary versions of all languages in the class P are accepted by 2dpda's [Monien 1984].
- However, every unary 2dpda making $O(1)$ *input head reversals* accepts a regular language [Chrobak 1984].
- The same does not hold for nonunary languages: e.g., consider $\{a^n b^n a^n \mid n \geq 1\}$ which is not a cfl.

Problems:

- Input head reversals are useful to reduce the size of dpda's?
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- There is a 2dpda accepting the powers of 2 in unary notation

$$\{a^{2^k} \mid k \geq 0\}$$

using $O(\log n)$ input head reversals

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